

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/047,866	01/15/2002	Michael K. Schumacher	ET9312791916US

26127  
DYKEMA GOSSETT PLLC  
39577 WOODWARD AVENUE  
SUITE 300  
BLOOMFIELD HILLS, MI 48304-5086

COPY OF PAPERS  
ORIGINALLY FILED

CONFIRMATION NO. 5118

FORMALITIES LETTER



\*OC000000007469189\*

Date Mailed: 02/13/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

*Tran Chan*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/21/2002 CV0111 00000014 042223 10047866

01 FC:205 65.00 CH

HH  
MIP/18 #3

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.  
84,355-003

UIPE  
MAR 18 2002  
INVENT & TRADEMARK OFFICE

In Re Application Of: **SCHUMACHER**

Serial No.  
10/047,866

Filing Date  
01/15/2002

Examiner  
UNKNOWN

Group Art Unit  
2151

Invention:

**SYSTEM AND METHOD FOR MANAGING INFORMATION FOR A PLURALITY OF COMPUTER SYSTEMS  
IN A DISTRIBUTED NETWORK**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

**Box Missing Parts**

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on

02/13/2002  
Date

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Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☐ \_\_\_\_\_ verified small entity declaration(s)
  - ☐ is/are attached.
  - ☐ was/were filed on \_\_\_\_\_
- ☐ A separate request for refund.
- ☒ Other (list):

**POWER OF ATTORNEY**

**STATEMENT UNDER 37 CFR 3.73(b) (INCLUDING COPY OF ASSIGNMENT OF APPLICATION)**

**Response To Notice To File Missing Parts Of Application**  
**Filing Date Granted (PTO-1533)(Small Entity)**

**Docket No.**  
**84,355-003**

In Re Application Of: **SCHUMACHER**

**Serial No.**  
**10/047,866**

**Filing Date**  
**01/15/2002**

**Examiner**  
**UNKNOWN**

**Group Art Unit**  
**2151**

**Invention:**

**SYSTEM AND METHOD FOR MANAGING INFORMATION FOR A PLURALITY OF COMPUTER SYSTEMS  
IN A DISTRIBUTED NETWORK**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

**Attention: Manager, Application Branch**

☒ **Completion of application fees as calculated below:**

☐ **Utility application filing fee**

☐ **Design application filing fee**

☐ **Total number of independent claims =** \_\_\_\_\_

☐ **Total number of claims =** \_\_\_\_\_

☐ **Multiple dependent claims**

☒ **Surcharge for late payment of filing fee and/or late filing of original declaration or oath** **\$65.00**

☐ **Petition and fee for filing by other than all the inventors or a person not the inventor**

☐ **Fee for processing an application filed with a non-English language specification**

☐ **Fee for processing and retention of application**

**Total completion of application fees** **\$65.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ **One month**    ☐ **Two months**    ☐ **Three months**    ☐ **Four months**    ☐ **Five months**

from: \_\_\_\_\_ until: \_\_\_\_\_  
Date Date

**Total time extension fees** \_\_\_\_\_

**Total fees due** **\$65.00**

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.  
84,355-003

In Re Application Of: **SCHUMACHER**

Serial No.  
10/047,866

Filing Date  
01/15/2002

Examiner  
UNKNOWN

Group Art Unit  
2151

Invention:

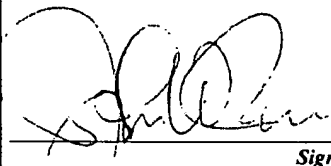
**SYSTEM AND METHOD FOR MANAGING INFORMATION FOR A PLURALITY OF COMPUTER SYSTEMS  
IN A DISTRIBUTED NETWORK**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

The fee of **\$65.00** is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **04-2223**  
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **04-2223**  
A duplicate copy of this sheet is enclosed.

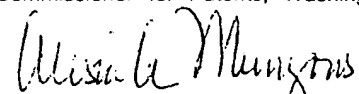


*Signature*

**JOHN W. REES, REG. NO. 38,278  
DYKEMA GOSSETT PLLC  
39577 WOODWARD AVENUE, SUITE 300  
BLOOMFIELD HILLS, MI 48304-5086  
(248) 203-0832  
jrees@dykema.com**

Dated: **MARCH 7, 2002**

I certify that this document and fee is being deposited  
on **03/07/2002** with the U.S. Postal Service as  
first class mail under 37 C.F.R. 1.8 and is addressed to the  
Assistant Commissioner for Patents, Washington, D.C.  
20231.

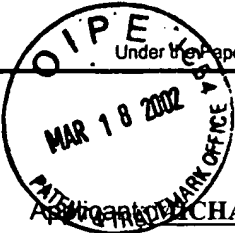


*Signature of Person Mailing Correspondence*

**ALESIA A. MUNGONS**

*Typed or Printed Name of Person Mailing Correspondence*

CC:



## STATEMENT UNDER 37 CFR 3.73(b)

Assignor: MICHAEL K. SCHUMACHER

Application No.: 10/047,866

Filed: 01/15/2002

Entitled: SYSTEM AND METHOD FOR MANAGING INFORMATION FOR A PLURALITY OF COMPUTER..

LAKE SIDE SOFTWARE, INC.

, a CORPORATION

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

- ☒ the assignee of the entire right, title, and interest; or
- ☐ an assignee of an undivided part interest

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ORIGINALLY FILED**

in the patent application identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

- From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
- From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
- From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

MARCH 4, 2002

Date

Signature

MICHAEL K. SCHUMACHER

Typed or printed name

PRESIDENT

Title